

05/02/01

JC991 U.S. PTO

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PTO/SB/05 (08-00)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. SUN-P5775CNT

First Inventor Martin Matula

Title Apparatus for Dynamic...

Express Mail Label No. EL839725974US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 53]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 22]
5. Oath or Declaration [Total Pages 4]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment ✓
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information:

Examiner

of prior application No.: not yet assigned
filed on May 1, 2001
Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

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Signature

Date

5/2/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Matula et al.
 SERIAL NO.: (not yet assigned)
 FILING DATE: May 2, 2001
 TITLE: APPARATUS FOR DYNAMIC IMPLEMENTATION OF
 JAVA™ METADATA INTERFACES
 EXAMINER: [not yet assigned]
 ART UNIT: [not yet assigned]

CERTIFICATE OF MAILING

"Express Mail" mailing label no.: EL839725974US

Date of Deposit: May 2, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail
 Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and addressed to:
 Box PATENT APPLICATION, Commissioner for Patents, Washington, DC 20231, on the date printed below:

Name: *Diane Morse*

Diane Morse

BOX PATENT APPLICATION
 COMMISSIONER FOR PATENTS
 WASHINGTON, D.C. 20231

CONTINUING APPLICATION TRANSMITTAL LETTER
37 C.F.R. § 1.53(b)

This is a request for filing under the Continuing Application Procedure, 37 C.F.R.
 § 1.53(b); for a continuation application of prior application Serial No. _____, filed
 on May 1, 2001 naming inventors Martin Matula and Petr Hrebejk, filed on behalf of Sun
 Microsystems, Inc. entitled, "METHOD FOR DYNAMIC IMPLEMENTATION OF
 JAVA™ METADATA INTERFACES", including Utility Patent Application Transmittal,
 28 pages of specification, 24 pages of claims, 22 sheets of drawing figures, and 1 page of

Abstract. Also enclosed herewith are the copies of the Assignment, combined Declaration & Power of Attorney from the parent case, and Preliminary Amendment.

The attorney's Docket Number of this application is SUN-P5775CNT.

Please address all communications regarding this application to:

David B. Ritchie
Thelen Reid & Priest LLP
P.O. Box 640640
San Jose, California 95164-0640
Telephone (408) 292-5800

The Commissioner is hereby authorized to charge our Deposit Account No. 50-1698 in the amount of \$1,536.00 for the filing fee for a large entity, calculated after the entry of the Preliminary Amendment filed herewith, as follows:

Basic Filing Fee:	\$ 710.00
2 Additional Independent Claims:	\$ 160.00
37 Additional Dependent Claims over Twenty:	<u>\$ 666.00</u>
Total Filing Fee:	\$1,536.00

In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, the Commissioner is hereby authorized to charge or credit the difference to our Deposit Account No. 50-1698. A duplicate of this page is enclosed.

Respectfully submitted,
THELEN REID & PRIEST LLP



Marc S. Hanish
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Dated: May 2, 2001

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